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► **To cite this version:**

Jean-Paul Richalet, Eric Hermand, François Lhuissier. Cardiovascular physiology and pathophysiology at high altitude. *Nature Reviews Cardiology*, 2023, 10.1038/s41569-023-00924-9 . hal-04243067

**HAL Id: hal-04243067**

**<https://hal.science/hal-04243067>**

Submitted on 17 Oct 2023

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# Cardiovascular physiology and pathophysiology at high altitude

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## Abstract

Oxygen is vital for cellular metabolism; therefore, the hypoxic conditions encountered at high altitude affect all physiological functions. Acute hypoxia activates the adrenergic system and induces tachycardia, whereas hypoxic pulmonary vasoconstriction increases pulmonary artery pressure. After a few days of exposure to low oxygen concentrations, the autonomic nervous system adapts and tachycardia decreases, thereby protecting the myocardium against high energy consumption. Permanent exposure to high altitude induces erythropoiesis, which if excessive can be deleterious and lead to chronic mountain sickness, often associated with pulmonary hypertension and heart failure. Genetic factors might account for the variable prevalence of chronic mountain sickness, depending on the population and geographical region. Cardiovascular adaptations to hypoxia provide a remarkable model of the regulation of oxygen availability at the cellular and systemic levels. Rapid exposure to high altitude can have adverse effects in patients with cardiovascular diseases. However, intermittent, moderate hypoxia might be useful in the management of some cardiovascular disorders, such as coronary heart disease and heart failure. The aim of this Review is to help physicians to understand the cardiovascular responses to hypoxia and to outline some recommendations that they can give to patients with cardiovascular disease who wish to travel to high-altitude destinations.

33 **Key points**

- 34 • Acute exposure to high altitude stimulates the adrenergic system, increasing heart rate and cardiac  
35 output; although blood pressure remains stable, pulmonary artery pressure increases owing to hypoxic  
36 pulmonary vasoconstriction.
- 37 • Prolonged exposure to high altitude induces a decrease in maximal heart rate through desensitization of  
38 the adrenergic pathway, as a protective mechanism against environmental conditions of low oxygen  
39 availability.
- 40 • Long-term exposure to high altitude results in cardiac adaptations with no obvious dysfunction; stroke  
41 volume is slightly reduced owing to decreased left ventricular filling volume secondary to right  
42 ventricular overload.
- 43 • High-altitude natives can develop chronic mountain sickness, associated with erythropoiesis,  
44 pulmonary hypertension and right heart failure, although genetic adaptations to hypoxia have been found  
45 in Tibetan and Ethiopian populations.
- 46 • Patients with cardiovascular diseases can be at increased risk of adverse events at altitudes above 2,500  
47 m, owing to hypoxaemia, high adrenergic activity and pulmonary hypertension.
- 48 • Intermittent, moderate hypoxia might be useful in the conditioning of patients with cardiovascular  
49 diseases, such as coronary heart disease and heart failure.

50

## 51 **Introduction**

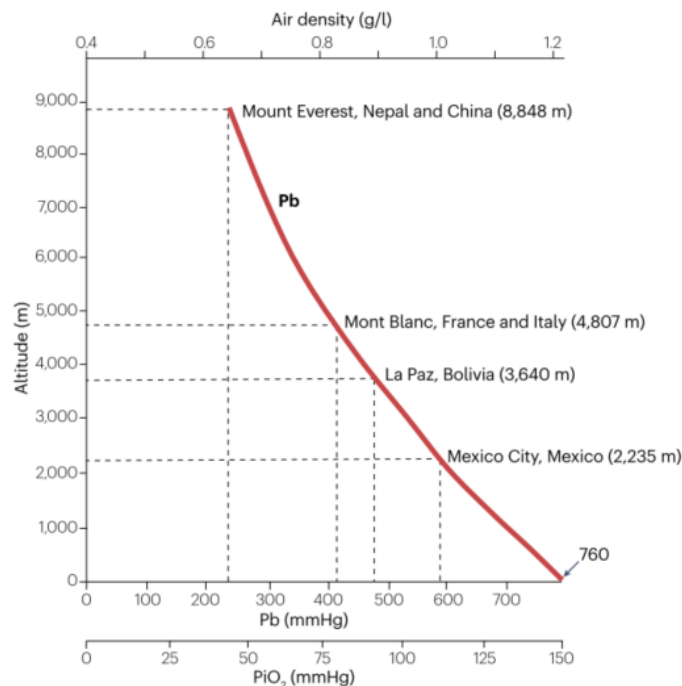
52 The term hypoxia has arisen in the public sphere for two reasons in the past 5 years — the awarding of  
53 the Nobel Prize in Physiology or Medicine to Kaelin, Ratcliffe and Semenza in 2019 for "their  
54 discoveries of how cells sense and adapt to oxygen availability" and in the context of the coronavirus  
55 2019 (COVID-19) pandemic. In the academic environment, hypoxia is an active topic of research. In  
56 April 2023, the search term 'hypoxia' produced more than 184,000 results in the PubMed database, with  
57 the first dating from 1945 (ref. 1). However, interest in the effects of oxygen deprivation on living  
58 organisms began in the mid-nineteenth century, when scientists working in high-altitude regions mainly  
59 used the terms anoxia or anoxaemia. A clear, clinical distinction between anoxia and hypoxia was first  
60 made by Carl Wiggers in 1941 (ref. 2). Hypoxia is a decrease in oxygen and is variable with time and  
61 localization in the body, whereas anoxia is the absence of oxygen. This contrast illustrates the concept of  
62 homeodynamics that defines living organisms as complex systems in a state of permanent instability,  
63 exposed to environmental and internal perturbations<sup>3</sup>.

64 High-altitude environments are characterized by various physical constraints, including cold temperatures  
65 and an increased level of ultraviolet radiation. However, the most demanding condition is hypoxia owing  
66 to the progressive decline in barometric pressure (Fig. 1). The oxygen pressure in the inspired air ( $P_{iO_2}$ ) is  
67 given by the following equation:  $P_{iO_2} = F_{iO_2} \times (P_b - P_{H_2O})$ , in which  $F_{iO_2}$  is the fraction of oxygen in  
68 the inspired air,  $P_b$  is the barometric pressure and  $P_{H_2O}$  is  $F_{iO_2}$  does not depend on altitude and currently  
69 equals 0.2093 (20.93%), but this value has fluctuated since the formation of the Earth. For example, 300  
70 million years ago,  $F_{iO_2}$  was around 0.30 (30%) and this relatively 'hyperoxic' environment favoured the  
71 development of large insects without a circulatory system transporting oxygen<sup>4</sup>. Conversely, 250–150  
72 million years ago,  $F_{iO_2}$  fell to between 0.10 and 0.15 (10–15%). This relatively 'hypoxic' environment  
73 might have affected the size and metabolism of living organisms and could even partly explain the  
74 concomitant catastrophic mass extinction event at the end of the Triassic period<sup>4,5</sup>.  $F_{iO_2}$  then  
75 progressively rose to present-day values. The evolution of  $F_{iO_2}$  is interesting and might explain why  
76 living organisms have evolved various strategies to cope with hypoxia or hyperoxia.

77 High-altitude regions above 2,500 m are found in South America (Andean countries), North America  
78 (Rocky Mountains and Alaska), Europe (Alps and Pyrenees), Africa (Atlas and East African plateaux)  
79 and Asia (Himalayas and Tibetan plateau). Isolated peaks >4,000 m above sea level can be found in  
80 Antarctica, Indonesia and Japan. Worldwide, more than 40 million people live at altitudes above 2,500 m  
81 and are exposed to chronic hypoxia, whereas an undetermined number of people are exposed to acute  
82 hypoxia for leisure or work activities. Chronic intermittent hypoxia occurs when an individual spends a  
83 few days at high altitude followed by a few days at sea level, and this pattern is repeated regularly, as is  
84 the case for miners in South America. Billions of people who travel by air are potentially exposed to a

85 pressurized cabin environment corresponding to a maximum altitude of 2,400 m. Therefore, a wide  
86 variety of exposure times — from a few minutes or hours to years — will trigger an array of  
87 physiological and pathological responses to hypoxia.

88 Physiological adaptations to hypoxia include cardiovascular, respiratory, metabolic, haematological and  
89 endocrine responses. In this Review, we focus on cardiovascular adaptations to both acute and chronic  
90 exposure to high altitude. We also discuss the effects of hypoxia in the setting of various cardiovascular  
91 diseases (CVDs) and outline some guidance for advising patients with CVD who wish to travel to high-  
92 altitude destinations (Box 1). In addition, we briefly explore the role of hypoxic preconditioning in health  
93 and disease.



**Fig. 1 | Altitude, barometric pressure, air density and inspired oxygen pressure.** With increasing altitude, air density, barometric pressure (Pb) and inspired oxygen pressure (PiO<sub>2</sub>) decrease. PiO<sub>2</sub> is given by the equation  $PiO_2 = FiO_2 \times (Pb - P_{H_2O})$ , in which FiO<sub>2</sub> is the fraction of oxygen in the inspired air and P<sub>H<sub>2</sub>O</sub> is the water pressure in the upper airways. P<sub>H<sub>2</sub>O</sub> does not vary with altitude and is equal to 47 mmHg for a body temperature of 37 °C. Similarly, FiO<sub>2</sub> does not vary with altitude and is equal to 0.2093 (20.93%).

94

95

## 96 Physiological responses

97 The physiological effects of hypoxia have been studied using either hypobaric hypoxia (using high-  
98 altitude environments or hypobaric chambers) or normobaric hypoxia (by breathing hypoxic gas  
99 mixtures). When PiO<sub>2</sub> is the same for each method, no physiologically significant differences between  
100 these experimental approaches have been observed<sup>6</sup>.

101 Oxygen is vital for all human cells and, therefore, hypoxic conditions affect all physiological functions.  
102 Every cell can be considered to be an oxygen sensor owing to the presence of genetic sequences known as  
103 hypoxia-responsive elements. In acute hypoxia (minutes to hours), the activation of these elements

104 triggers the expression of various factors, leading to the stabilization of hypoxia-inducible factors (HIF1,  
105 HIF2 and HIF3). In turn, HIFs induce the expression of messengers and hormones (such as  
106 erythropoietin, vascular endothelial growth factor and glucose transporters) involved in the physiological  
107 response to hypoxia<sup>7</sup>. Cell function can also be directly affected by hypoxia through the activation or  
108 inhibition of ion channels, such as K<sup>+</sup> channels for chemoreceptors and Ca<sup>2+</sup> channels for smooth muscle  
109 cells<sup>8</sup>. Peripheral chemoreceptors are the first sensors to be challenged by a hypoxaemic stimulus,  
110 triggering immediate ventilatory (hyperventilation) and cardiac (tachycardia) responses via the medulla  
111 oblongata. The vascular response to hypoxia is variable, depending on the site of action. Hypoxia induces  
112 vasoconstriction in the pulmonary vessels and vasodilatation in the peripheral circulation (Fig. 2).

113 With prolonged exposure to hypoxia (days to weeks), other adaptive responses occur, such as  
114 downregulation of adrenergic receptors, changes in the acid–base balance leading to increased excretion  
115 of bicarbonates, stimulation of erythropoiesis via erythropoietin, changes in the secretion of various  
116 hormones (for example, an increase in catecholamine and corticosteroids) and inhibition of the renin–  
117 angiotensin–aldosterone system (RAAS). These integrated responses (Fig. 2) can preserve sufficient  
118 delivery of oxygen to all cells<sup>9</sup>. However, because maximal oxygen consumption during exercise  
119 irremediably decreases with increasing altitude, physical performance becomes impaired from ~800 m, at  
120 least in endurance-trained athletes<sup>10</sup>. Cognitive function also becomes impaired, but only at much higher  
121 altitudes (>6,000 m). Despite the rapid and integrated physiological response to hypoxia, if the stimulus is  
122 too severe or the metabolic demand too high, the balance between oxygen supply and consumption  
123 becomes altered and pathological events can occur. Conditions such as acute mountain sickness and  
124 pulmonary or cerebral oedema usually manifest during the first hours or days of exposure to altitude  
125 (mainly >2,500 m). The severity of these conditions can vary depending on the peripheral  
126 chemosensitivity of an individual to hypoxia<sup>11</sup> and the intensity of hypoxia-induced pulmonary  
127 hypertension<sup>12</sup>.

128 With chronic exposure to hypoxia (months, years or lifetime), stabilized erythropoiesis generally  
129 contributes to permanent acclimatization to life in hypoxia. However, in some cases of chronic mountain  
130 sickness (CMS; also known as Monge disease), excessive erythropoiesis can lead to an increase in blood  
131 viscosity, thrombosis, pulmonary hypertension and heart failure in some natives of high-altitude  
132 environments<sup>13</sup>.

133

## 134 **Cardiovascular responses**

135 The cardiovascular system has a major role in the integrated response to hypoxia (Table 1), involving two  
136 mechanisms: centrally mediated activation of the adrenergic system and a direct peripheral effect on the  
137 cells of the heart and blood vessels. Activation of medullary adrenergic centres is driven by input from

138 the carotid chemoreceptors that are sensitive to hypoxaemia<sup>14</sup>. The whole sympathetic nervous system is  
139 activated, as evidenced by an increase in plasma and urine catecholamine concentrations<sup>15</sup>. An increase in  
140 arterial plasma catecholamine levels has been consistently observed with prolonged hypoxia<sup>16</sup>. Activation  
141 of the adrenergic system has also been demonstrated by increased activity in the peroneal adrenergic  
142 nerves<sup>17</sup>.

143 G<sub>s</sub> and G<sub>i</sub> proteins that couple the  $\beta$ -adrenergic receptors to adenylate cyclase and activate or inhibit  
144 this enzyme, respectively, have been shown to have a crucial role in the downregulation of the adrenergic  
145 system in hypoxia (Fig. 3). In hypoxia, G<sub>s</sub> activity is reduced, whereas G<sub>i</sub> expression is increased, leading  
146 to inhibition of adenylate cyclase activity and, ultimately, a reduction in ion channel activity and heart  
147 rate<sup>18</sup>.  $\beta$ -Arrestin 2 could have an important role in regulating pathways involved in the desensitization  
148 and internalization of G-protein-coupled receptors observed in hypoxia and has been explored as a  
149 potential target for treating heart failure<sup>19</sup>. Interestingly, the heart is not the only organ in which hypoxia  
150 induces desensitization of G-protein-coupled receptors. Renal handling of calcium by parathormone,  
151 control of growth hormone secretion by hypothalamic  
152 factors, muscle lactate release and adipose tissue lipolysis are also affected, suggesting a general  
153 mechanism of adaptation to hypoxia<sup>14</sup>.

154

## 155 **The heart**

156

### 157 **Heart rate.**

158 The predominance of the adrenergic system at high altitude has been highlighted by a study of heart rate  
159 variability, in which hypoxia induced a decrease in R–R interval and an increase in the low-frequency to  
160 high-frequency ratio, an index of sympathovagal balance<sup>20</sup>. With acute exposure to high altitude, heart  
161 rate at rest and after moderate exercise increases and then progressively declines with acclimatization, but  
162 never returns to sea-level basal values<sup>21</sup>. The ‘mirror’ pattern of variation in resting heart rate and arterial  
163 oxygen saturation (SaO<sub>2</sub>) illustrates the close relationship between hypoxaemia and adrenergic activation  
164 in acute and prolonged hypoxia (Fig. 4).

165 Although heart rate with moderate exercise initially increases at altitude, heart rate at maximal exercise is  
166 slightly reduced in acute hypoxia and decreases significantly with prolonged (>24 h) exposure to high  
167 altitude. The decrease in heart rate at maximal exercise has been observed in many studies conducted in  
168 the field and in simulated conditions<sup>22</sup> (Fig. 5). Both sympathetic and parasympathetic systems have been  
169 explored to find a physiological explanation for this decrease in maximal heart rate. The most convincing  
170 evidence is that  $\beta$ -adrenergic receptors are downregulated. This mechanism is well known in  
171 pharmacology — when an agonist is consistently elevated, the corresponding receptor is downregulated,

172 leading to desensitization of the whole pathway as an adaptive phenomenon against excessive  
173 stimulation. Studies in animals<sup>23–26</sup> and in humans<sup>27</sup> have confirmed this hypothesis. Moreover, in a study  
174 of six healthy individuals, cardiac uptake of iodine-123 metaiodobenzylguanidine (<sup>123</sup>I-MIBG) was  
175 reduced after 1 week of exposure to an altitude of 4,350 m, supporting the hypothesis that hypoxia  
176 reduces adrenergic neurotransmitter reserve in the myocardium and alters endothelial cell function<sup>28</sup>.

177 The parasympathetic system has been investigated in only a few studies, mainly through muscarinic  
178 receptor blockade by atropine or glycopyrrolate, suggesting that a hypoxia-induced increase in  
179 parasympathetic activity might contribute to the decrease in heart rate at exercise in prolonged hypoxia<sup>29–</sup>  
180 <sup>31</sup>. In animal models, the increase in parasympathetic effects on the heart has been related to the  
181 upregulation of muscarinic receptors<sup>23,24,32</sup>, implying a decrease in centrally mediated activation of the  
182 parasympathetic system, as a mirror effect of adrenergic activation with downregulation of  $\beta$ -receptors. A  
183 causal link between the observed decrease in maximal heart rate and the decrease in exercise performance  
184 at altitude has been debated but has never been clearly demonstrated.

185 A model of myocardial oxygenation with exercise at increasing altitudes has demonstrated that the  
186 decrease in heart rate at maximal exercise is beneficial — by limiting cardiac oxygen consumption when  
187 oxygen availability is reduced, adequate myocardial oxygenation is maintained<sup>22</sup> (Fig. 6). This  
188 remarkable autoregulation of oxygen handling protects the heart from ischaemic events in extreme  
189 conditions in which arterial  $P_{O_2}$  is ~30 mmHg (Fig. 4). Indeed, no cases of myocardial infarction or  
190 angina pectoris have ever been reported in healthy individuals exercising at altitudes >8,000 m (ref. 33).  
191 The preservation of myocardial function in healthy people exposed to hypoxia can be considered in  
192 parallel with the use of  $\beta$ -blockers in patients with heart failure. Interestingly, a polymorphism in G-  
193 protein-coupled receptor kinase 5 (*GRK5*) that is common among African American individuals improves  
194 survival from heart failure, supporting the role of G proteins in the preservation of heart function at high  
195 altitude<sup>34</sup>.



## Box 1

### Recommendations for patients with cardiovascular diseases travelling to high-altitude regions

#### All patients

- Be aware of potential interactions between current medication and acetazolamide, if prescribed.
- Consider the presence of comorbidities.
- Consider the availability of medical facilities at destination.

#### Coronary artery disease

- Travel not advisable until at least 6 months after a cardiac event.
- Travel advisable if no electrocardiographic abnormalities are present during the stress test.
- Travel advisable if destination is  $\leq 4,200$  m above sea level (lower threshold if additional cardiovascular risks are present).
- No vigorous exercise at altitude.

#### Heart failure

- NYHA class I-II: travel advisable if destination is  $\leq 3,500$  m above sea level.
- NYHA class III: travel advisable if destination is  $\leq 3,000$  m above sea level.
- NYHA class IV: travel to high-altitude destinations is not advisable.

#### Arrhythmias

- For patients with serious ventricular arrhythmias, travel advisable if destination is  $\leq 3,500$  m above sea level.
- Travel advisable for patients with other arrhythmias.

#### Cyanotic heart disease or right-to-left shunt

- Travel not advisable, unless the patient has been surgically treated.

#### Systemic hypertension

- Travel not advisable for patients with uncontrolled or severe hypertension ( $>180/110$  mmHg).
- Travel advisable for patients with stabilized hypertension.

#### Pulmonary hypertension

- Travel not advisable if destination is  $>2,000$  m above sea level.
- If travel cannot be avoided, use of supplemental oxygen is required.

196

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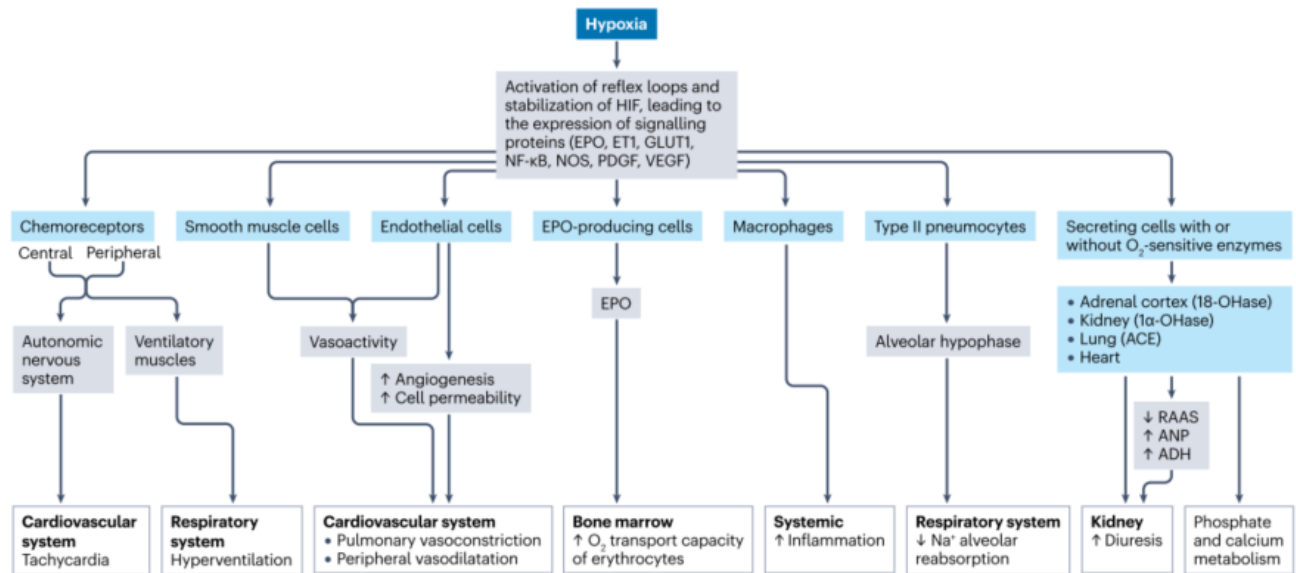
## 198 Cardiac dimensions and function.

199 Cardiac output and stroke volume have been studied in various normobaric and hypobaric hypoxic  
200 conditions. Cardiac output increases at altitude, mainly owing to the increase in heart rate. Stroke volume  
201 decreases slightly, as measured during Operation Everest II when stroke volume decreased by 14% at rest  
202 and after moderate exercise (60 W) at 7,620 m<sup>35</sup>. This change is not due to a decrease in venous return to  
203 the heart, as argued by some researchers<sup>36</sup>, because blood volume is maintained (the decrease in plasma  
204 volume is compensated for by an increase in red cell volume). The decline in stroke volume is actually  
205 caused by a slight reduction in the end-diastolic volume of the left ventricle as a consequence of increased  
206 pressure in the right ventricle linked to elevated pulmonary artery pressure (PAP). This mechanical effect  
207 of high right ventricular (RV) pressure on the interventricular septum can slightly impair left ventricular  
208 (LV) filling. However, these mechanisms do not significantly impair cardiac function. Acute moderate  
209 normobaric hypoxia ( $\text{FiO}_2 = 14.4\%$ ) has been shown to attenuate exercise-induced increases in stroke  
210 volume and cardiac output<sup>37</sup>. Stroke volume reached a plateau earlier in hypoxia than in normoxia<sup>37</sup>,  
211 suggesting a slight impairment in cardiac filling related to a decrease in LV diastolic function<sup>38</sup> or to  
212 impaired RV function owing to elevated pulmonary vascular resistance<sup>39</sup>.

213 In healthy individuals, cardiac inotropic function is not altered at altitude, even at extreme elevations,  
214 as shown by normal or even augmented LV ejection fraction<sup>35,38</sup>. Endurance athletes intermittently  
215 exposed (12 h per day for 13 days) to simulated altitude (2,500–3,000 m) showed a slight increase in the  
216 ratio of RV-to-LV diameter on echocardiography, suggesting minor RV dilatation without an alteration in  
217 contractile function<sup>40</sup>. Among healthy volunteers, LV mass (adjusted for changes in the body surface  
218 area) decreased by 11% after a 17-day trek to 5,300 m, but returned to pre-trek values after 6 months<sup>41</sup>.

219 No change in LV or RV ejection fraction occurred, but a slight decrease in diastolic function was  
220 reported<sup>41</sup>. In Chilean soldiers<sup>42</sup> and miners<sup>43</sup> intermittently exposed to altitudes between 3,550 m and  
221 4,600 m for 2.5–12.0 years, minor RV hypertrophy was observed and PAP was elevated (>25 mmHg in  
222 4% of the military population). During a simulation of ascent to 8,848 m (Operation Everest III (COMEX  
223 '97)), cardiac function was assessed using a combination of M-mode and 2D echocardiography, with  
224 continuous and pulsed Doppler at 5,000, 7,000 and 8,000 m<sup>44</sup>. On ascent to altitude, aortic, left atrial and  
225 LV end-systolic diameter fell regularly. Mitral peak *E* velocity decreased, peak *A* velocity increased and  
226 the *E/A* ratio decreased. Systolic PAP showed a progressive and constant increase up to 40 mmHg at  
227 8,000 m<sup>44</sup>. This study confirmed the elevation of PAP and the preservation of LV contractility at high  
228 altitude. A modification in LV filling pattern was observed, with decreased early filling and an increased  
229 contribution from atrial contraction, without elevation of LV end-diastolic pressure<sup>38</sup>. In another study,  
230 lowlanders arriving at high altitude (3,750 m) had an increase in mean PAP (13–22 mmHg) and altered  
231 RV and LV diastolic function, although RV systolic function was maintained<sup>45</sup>. After a 10-day period of  
232 acclimatization to high altitude, PAP (measured at 4,850 m) increased slightly (26 mmHg) without further  
233 changes in cardiac function. These observations confirm that healthy individuals exposed to mild  
234 hypoxia-induced pulmonary hypertension maintain systolic function, despite a slight impairment in  
235 ventricular filling mechanisms.

236 Cardiac electrical activity is not significantly modified by exposure to hypoxia in healthy individuals.  
237 However, a decrease in the amplitude of QRS and T waves on the electrocardiogram has been observed  
238 during moderate exercise in hypoxia, when compared with normoxia for the same heart rate<sup>46</sup>. These  
239 changes have no clinical implication but might reflect a slight hypoxia-induced decrease in ion exchange  
240 in cardiomyocytes.



**Fig. 2 | Physiological effects of acute hypoxia.** Hypoxia induces the expression and translation of genes with hypoxia-responsive elements, which in turn trigger the expression of various factors that lead to the stabilization of hypoxia-inducible factors (HIFs). HIFs can induce the production of messengers or hormones involved in physiological reactions to hypoxia, such as erythropoietin (EPO), endothelin 1 (ET1), glucose transporters (such as GLUT1), nuclear factor- $\kappa$ B (NF- $\kappa$ B), nitric oxide synthases (NOS), platelet-derived growth factor (PDGF) and vascular endothelial growth factor (VEGF). Hypoxia also activates reflex loops, independently of HIF stabilization. Peripheral chemoreceptors are the first sensors of the hypoxaemic stimulus, triggering immediate ventilatory (hyperventilation) and cardiac (tachycardia) responses

to hypoxia. The vascular response to hypoxia is variable, depending on the site of action. Hypoxia induces vasoconstriction in the pulmonary vessels and vasodilatation in the rest of the peripheral circulation. Other responses occur after prolonged exposure to hypoxia (hours to days), such as increased angiogenesis and endothelial cell permeability, stimulation of erythropoiesis via EPO, increased inflammation, decreased  $\text{Na}^+$  alveolar reabsorption by pneumocytes and increased diuresis via inhibition of the renin-angiotensin-aldosterone system (RAAS) and stimulation of atrial natriuretic peptide (ANP) and antidiuretic hormone (ADH).  $1\alpha$ -OHase, 25-hydroxyvitamin D  $1\alpha$ -hydroxylase; 18-OHase, steroid 18-hydroxylase (also known as aldosterone synthase); ACE, angiotensin-converting enzyme.

241  
242

### 243 Myocardial circulation.

244 As discussed, the healthy myocardium shows remarkable adaptation to hypoxia via a reduction in  
245 maximal heart rate. In addition, hypoxia-induced coronary vasodilatation occurs, mediated by vasoactive  
246 metabolites (such as adenosine and nitric oxide (NO)) or by proton accumulation<sup>47</sup>. A coronary flow  
247 reserve of 35% was found at maximal exercise in 12 healthy individuals breathing 12% oxygen  
248 (equivalent to an altitude of 4,650 m), although 1% of energy demand was covered by anaerobic  
249 metabolism<sup>48</sup>. In another study, during moderate exercise (83 W) at 4,500 m, coronary reserve was  
250 preserved among 10 healthy individuals, but was reduced by 18% in 8 patients with coronary artery  
251 disease (CAD)<sup>49</sup>.

252

### 253 Systemic blood pressure

254 Centrally mediated activation of the adrenergic system has a vasoconstrictive effect on peripheral  $\alpha$ -  
255 adrenergic receptors, which can lead to increases in peripheral vascular resistance and blood pressure.  
256 Moreover, an increase in heart rate and cardiac output can contribute to an increase in blood pressure  
257 independently of vascular resistance. Conversely, hypoxia has a direct relaxing effect on vascular smooth  
258 muscle cells, leading to vasodilatation and a decrease in vascular resistance. The overall effect depends on  
259 the time of exposure and the intensity of the hypoxic stimulus.

260 The effect of acute hypoxia on blood pressure illustrates these dichotomic responses between central and

261 peripheral mechanisms. Hypoxia-induced activation of the autonomic nervous system is a potent activator  
262 of central sympathetic activity, triggered by augmented oxygen-related activity of the carotid  
263 chemoreceptors<sup>50,51</sup>, which in turn induces a peripheral vasoconstrictor response via sympathetic-  
264 dependent contraction of vascular smooth muscle cells<sup>51</sup>. This centrally mediated mechanism is  
265 counterbalanced by the peripheral action of hypoxaemia, which stimulates the production and release of  
266 local vasodilatory factors such as endothelial NO<sup>52-54</sup>, thereby promoting global vasodilatation in various  
267 (coronary, cerebral, splanchnic and skeletal) vascular beds<sup>55</sup>. In clinical tests performed to predict  
268 susceptibility to acute mountain sickness, a slight rise in systemic blood pressure was observed in hypoxia  
269 (FiO<sub>2</sub> = 11.5%), compared with normoxia, for the same level of exercise intensity<sup>56-58</sup>. However, a  
270 concomitant increase in heart rate also occurred, under the influence of hypoxia-dependent sympathetic  
271 activation, leading to an increase in cardiac output<sup>59</sup> and masking the effects of hypoxia on centrally and  
272 peripherally driven blood pressure. Therefore, during steady-state exercise at moderate intensity, despite  
273 the increase in blood pressure during hypoxia (versus normoxia) for a given power (watts), blood pressure  
274 was lower in hypoxia (versus normoxia) for a given heart rate, when ‘clamping’ the adrenergic drive<sup>60</sup>.  
275 This finding confirms the superior effect of peripheral vasodilatory mechanisms over centrally driven  
276 vasoconstriction on blood pressure. Adding physiological stress (such as exercise) to existing  
277 environmental stress leads to a further ‘compensatory’ systemic vasodilatation. These concomitant  
278 mechanisms seem to provide superior outcomes compared with exercise-induced or hypoxia-dependent  
279 vasodilatation alone<sup>55</sup>.

280 Chronic exposure (months, years or lifetime) to high altitude requires multiple, additional  
281 physiological adaptations, which vary depending on the environment (such as altitude and climate) and  
282 the individual (genetics, lifestyle, socioeconomic factors and acclimatization)<sup>61</sup>. For example, in some  
283 studies, long term exposure to high altitude leads to a persistent increase in blood pressure<sup>59,62-65</sup>, whereas  
284 in other studies, blood pressure remained stable<sup>66</sup> or even decreased<sup>67</sup>. In general, peripheral  
285 vasodilatation is crucial to preserve the blood flow to oxygen-demanding muscles in hypoxia in the  
286 presence of centrally driven vasoconstriction.

**Table 1 | Cardiovascular modifications induced by hypoxia**

Parameter	Acute hypoxia	Prolonged hypoxia	Long-term high-altitude residence	Refs.
Adrenergic system	Activated	Activated and then downregulated	Activated or downregulated, depending on ethnic origin of the individual	14–18,20,23–27,171
Heart rate at rest	Increased	Return towards sea-level values	Depends on the ethnic origin of the individual	21
Maximal heart rate	Stable	Decreased	Lower than natives of sea-level regions	22
Cardiac output	Increased	Return to sea-level values	Depends on the ethnic origin of the individual	35,37,38
Stroke volume	Stable	Slightly decreased	Decreased in individuals with CMS	35,37,38
RV mechanics	Increase in RV volume	Increase in RV volume	Hypertrophy, especially in individuals with CMS	39,40,42,43,45
LV mechanics	Increase in systolic function	Slight decrease in LV filling, no change in LV ejection fraction	Decrease in LV filling	35,37,38,41,45
Blood pressure	Increase in parallel with heart rate	Increase in parallel with heart rate	Stable or increased	50–54,172
Pulmonary artery pressure	Increased	Increased	Increased	42,43,45,69–71
Cerebral circulation	Increased blood flow	Blood flow returns to normal	Stable	81,82
Renal circulation	Decreased renal blood flow and increased diuresis	Decreased effective renal blood flow	Decreased renal blood flow, especially in individuals with CMS	75–80
Myocardial circulation	Increased blood flow	Decreased coronary reserve	No data available	47–49
Muscular circulation	Increased blood flow	Increased blood flow	No data available	55,83–88,173

CMS, chronic mountain sickness; LV, left ventricular; RV, right ventricular.

287

288

## 289 **The peripheral circulation**

### 290 **Lungs.**

291 Within minutes of exposure to hypoxia, pulmonary vasoconstriction leads to a rapid increase in  
 292 pulmonary vascular resistance and mean PAP<sup>68,69</sup>. Exercise aggravates the hypoxia-induced increase in  
 293 pressure, which reaches 54 mmHg during maximal exercise at 8,848 m<sup>70</sup>. Pulmonary vasoconstriction  
 294 involves inhibition of oxygen-sensitive K<sup>+</sup> channels, leading to depolarization of pulmonary artery  
 295 smooth muscle cells and activation of voltage-gated Ca<sup>2+</sup> channels, Ca<sup>2+</sup> influx and vasoconstriction<sup>71</sup>.  
 296 This process is immediately reversed by breathing oxygen. However, lowlanders exposed to high altitude  
 297 for 2–3 weeks develop pulmonary hypertension that is not completely reversed by breathing oxygen<sup>70</sup>,  
 298 suggesting vascular remodelling of the pulmonary arterioles. This process involves the proliferation of  
 299 smooth muscle cells and thickening of the artery wall<sup>72</sup>. Pulmonary hypertension not only affects RV  
 300 function<sup>73</sup> but also limits exercise performance<sup>74</sup>.

301

### 302 **Kidneys.**

303 The effects of acute hypoxia on renal plasma flow and glomerular filtration rate are limited. However,  
 304 urine flow increases, probably through a combined effect of adrenergic stimulation and inhibition of the  
 305 RAAS<sup>75,76</sup>. Hypocapnia and alkalosis, resulting from hypoxia-induced hyperventilation, have an  
 306 important effect on renal physiology by inducing a large increase in bicarbonate excretion. In a study  
 307 from the Global REACH 2018 expedition, renal blood flow decreased by 14% after 1 day of exposure to  
 308 4,330 m, but was restored after 1 week of acclimatization, whereas glomerular filtration rate remained  
 309 lower than that at sea level<sup>77</sup>. With prolonged hypoxia, as haematocrit and blood viscosity increased,

310 effective renal plasma flow decreased by 38% at 5,800 m (ref. 78) and by 39% at 6,542 m, whereas renal  
311 blood flow decreased by only 24% (ref. 79). In natives of high-altitude environments, a more severe  
312 reduction in renal plasma flow is observed, especially in patients with CMS<sup>80</sup>.

313

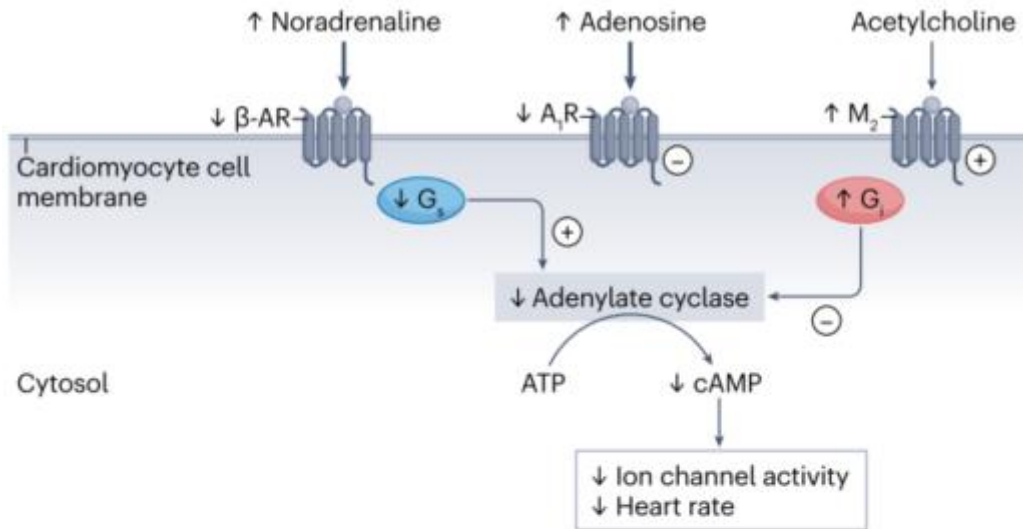
#### 314 **Brain.**

315 Cerebral blood flow increases with acute hypoxia. However, because hypoxia induces hyperventilation,  
316 the resulting hypocapnia has a direct vasoconstricting effect on the cerebral circulation, and cerebral  
317 blood flow returns to normal sea-level values after a few hours or days of hypoxic exposure<sup>81</sup>. At 8,000  
318 m, a decrease in the transient hyperaemic cerebrovascular response has been observed, suggesting  
319 impaired cerebral autoregulation that could have a role in the genesis of the acute neurological deficits  
320 observed at extreme altitude<sup>82</sup>.

321

#### 322 **Skeletal muscle.**

323 Exercising promotes various muscular vasodilatory processes, particularly by blunting sympathetic  $\alpha$ -  
324 adrenergic vasoconstriction and inducing the release of NO<sup>55</sup>. This response during exercise  
325 in hypoxic conditions compensates for the increased sympathetic vasoconstrictor activity directed towards  
326 skeletal muscle<sup>83</sup>. Although the specific mechanisms of this effect are not fully understood, they are  
327 thought to involve increased vasodilatation rather than reduced vasoconstriction in active locomotor  
328 muscles<sup>55</sup>. Other postulated mechanisms include augmented NO release, through  $\beta$ -adrenergic receptors  
329 in the exercising limb<sup>84,85</sup>, directly from the endothelium<sup>86</sup> or via shear stress activation of endothelial  
330 cells<sup>87</sup>. Adenosine might also contribute to the regulation of skeletal muscle blood flow by stimulating  
331 prostaglandin and NO synthesis<sup>88</sup>. These processes might be modulated by exercise intensity, the severity  
332 and the duration of exposure to hypoxia, and by the mobilized muscle mass<sup>55</sup>.



**Fig. 3 | Effects of hypoxia on cardiomyocytes.** Hypoxia induces an increase in the systemic levels of the agonists noradrenaline and adenosine. The increased noradrenaline and adenosine signalling in cardiomyocytes results in downregulation of  $\beta$ -adrenergic receptors ( $\beta$ -ARs) and the adenosine  $A_1$  receptor ( $A_1R$ ), upregulation of the muscarinic acetylcholine receptor  $M_2$  (probably due to a decrease in acetylcholine release), a decrease in  $G_s$  protein activity and an increase in  $G_i$  protein expression and, ultimately, a decrease in adenylate cyclase activity and production of cAMP. The decrease in cAMP negatively influences the control of automatism, contraction and relaxation of cardiomyocytes. The arrows indicate an increase or decrease in concentration or activity observed in hypoxia versus normoxia.

333  
334

### 335 **Adaptation in high-altitude natives**

336 Cardiovascular adaptations to altitude in humans have been built through genetic modifications over  
 337 millions of years of evolution. However, some individuals living at high altitude still manifest deleterious  
 338 responses to hypoxia and develop conditions such as CMS. Strategies for adaptation to permanent living at  
 339 high altitude differ according to the population and geographical region. Indigenous Tibetans, who have  
 340 lived above 4,000 m for more than 40,000 years, seem to have the best profile of genetic adaptation to  
 341 chronic hypoxia through changes in *HIF2* and *EGLN1* (also known as *PHD2*)<sup>89</sup>. This population has low  
 342  $SaO_2$ , but no excessive erythrocytosis or pulmonary hypertension. East African highlanders have  
 343 haemoglobin and  $SaO_2$  levels similar to those of sea-level natives<sup>90</sup>, and their phenotypic adaptation to  
 344 high altitude is still under investigation. Andeans, whose residence at high altitude dates to around 12,000  
 345 years ago, have a mixed genetic profile, with ethnic admixture with Europeans since the sixteenth  
 346 century<sup>91</sup>. Therefore, this population does not have a clear genetic advantage for living at high altitude,  
 347 with low  $SaO_2$ , high haemoglobin levels, elevated PAP and RV hypertrophy<sup>92</sup>. In a study of native  
 348 residents of La Paz, Bolivia (3,500–4,100 m), pulmonary artery hypertension was reversed after  
 349 prolonged residence at sea level or treatment with nifedipine<sup>93</sup>.

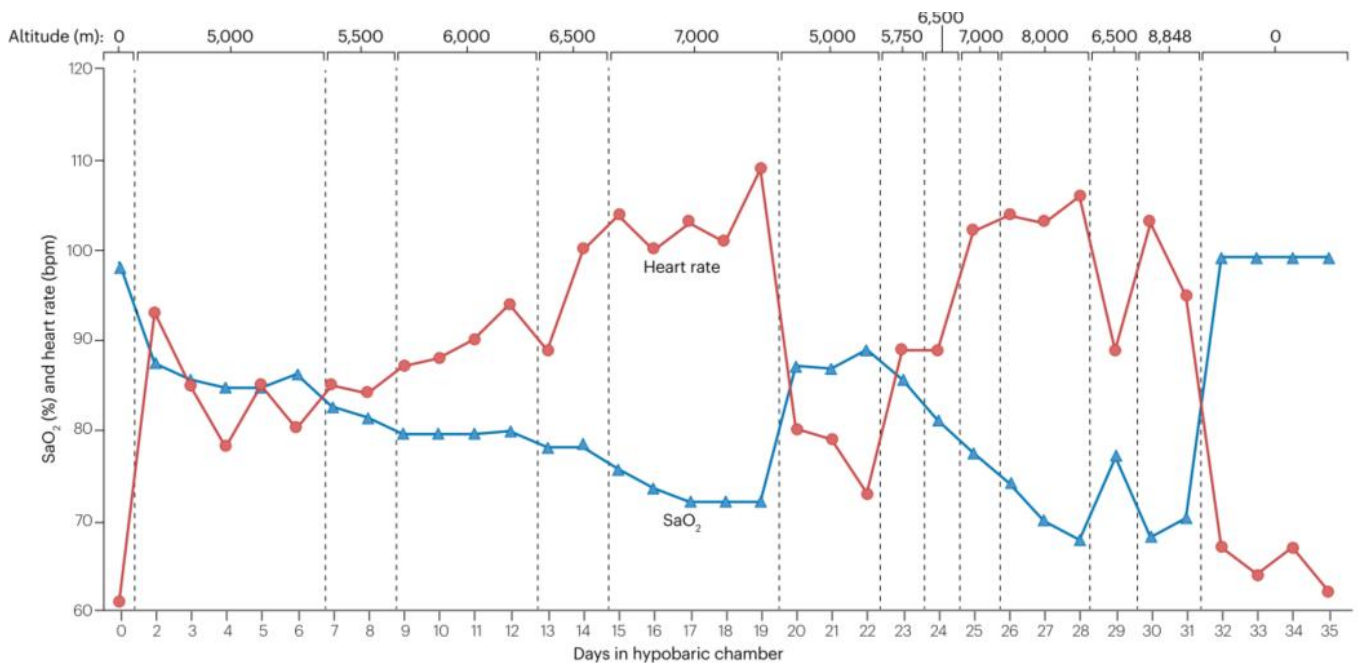
350 A substantial proportion of the Andean population (15% in Cerro de Pasco, Peru; 4,300 m) develops  
351 CMS<sup>13</sup>. This condition is characterized by excessive erythropoiesis and, sometimes, pulmonary  
352 hypertension that can evolve towards right and global heart failure<sup>94</sup>. Patients with CMS from Cerro de  
353 Pasco have elevated mean PAP (34 mmHg) when compared with healthy high-altitude natives (25  
354 mmHg) and sea-level residents (19 mmHg)<sup>95</sup>. These patients also have RV enlargement but do not  
355 develop impaired ejection fraction. However, the RV Tei index (myocardial performance index) was  
356 increased in patients with CMS and in healthy high-altitude residents, suggesting early impairment of RV  
357 function<sup>95</sup>. Moreover, patients with CMS seem to be at increased risk of developing cardiovascular events  
358 compared with their healthy counterparts<sup>96</sup>. In another study, a decrease in RV function at rest and during  
359 exercise was also found in patients with CMS from La Paz when compared with healthy high-altitude  
360 residents<sup>97</sup>. However, the researchers suggest that the lower resting values for RV function in patients  
361 with CMS could represent a physiological adaptation to chronic hypoxic conditions rather than impaired  
362 RV function.

363 In Peruvian high-altitude natives, peripheral chemoreceptors can develop hyperplasia with ageing, leading  
364 to a blunted ventilatory response to hypoxia<sup>13,98</sup>. Adrenergic activity was found to be increased, but  $\beta$ -  
365 adrenergic receptors were downregulated, similar to findings from sea-level natives exposed to prolonged  
366 hypoxia<sup>99</sup>. Plasma erythropoietin and soluble transferrin receptors are elevated, in line with excessive  
367 erythropoiesis, resulting in frequent episodes of sleep apnoea and nocturnal oxygen desaturation. These  
368 changes are reversed by administration of the carbonic anhydrase inhibitor acetazolamide<sup>100</sup>. Systemic  
369 arterial pressure has been studied in various high-altitude populations<sup>101</sup>. Native Tibetans have a higher  
370 prevalence of hypertension than Han Chinese people living at the same altitude, perhaps because of  
371 differences in genetics or nutrition<sup>102</sup>. Studies in Peruvian miners permanently living and working above  
372 5,000 m showed normal blood pressure (continuously monitored over 24 h), despite a higher blood  
373 viscosity owing to a high haematocrit (>60%)<sup>103</sup>. Moreover, Andean natives with CMS do not have an  
374 excessive prevalence of hypertension<sup>94</sup>. This finding raises the question of whether the peripheral  
375 vasodilatory mechanisms that occur during acute hypoxia persist in chronic hypoxia. The data are scarce  
376 but indicate that these mechanisms are still present in native Tibetans, especially through augmented  
377 endothelial NO production<sup>104</sup>. However, the response might vary according to ethnicity, because NO-  
378 mediated cutaneous vasodilatation was found to be reduced in Peruvian high-altitude residents<sup>104,105</sup>.  
379 Other mechanisms of peripheral vasodilatation are still to be unravelled, but could include dampening of  
380 the vasodilatory effect of ATP or adenosine<sup>16</sup> and excessive blood viscosity<sup>106</sup>.

381 Genetic studies of high-altitude populations have been developed with two objectives: first, to identify  
382 specific mutations that confer an evolutionary advantage for living at high altitude (comparing Andeans,  
383 Tibetans or Ethiopians with sea-level natives); and second, to characterize genetic risk factors among



384 high-altitude natives who develop CMS. More than 1,000 genes encoding proteins involved in the  
 385 circulatory system, angiogenesis, erythropoiesis and oxygen transport could be associated with adaptation  
 386 or maladaptation to high altitude<sup>89,107,108</sup>. In genome-wide association studies, the specific allele  
 387 frequency of several HIF pathway genes involved in the Tibetan pattern of adaptation, including *EPAS1*  
 388 and *EGLN1*, has been identified that might contribute to the low haemoglobin concentration observed in  
 389 Tibetans<sup>109–111</sup>. In Andeans, genetic studies suggest that positive selection has focused on the NO  
 390 pathways and the cardiovascular system<sup>112</sup>. Studies of Ethiopian adaptation are scarce, but have identified  
 391 HIF-mediated oxygen-sensing pathways<sup>113</sup>, similar to those found in Tibetans and Andeans, suggesting  
 392 convergent evolution in populations living at high altitude. The *VEGFA* gene has been implicated in  
 393 cardiovascular maladaptation to hypoxia in Andeans<sup>114</sup>, and *AEBP2*, which has a role in erythropoiesis,  
 394 has also been proposed as a causal gene for CMS<sup>115</sup>. Other genes, such as the erythropoiesis regulator  
 395 *SENPI* and the oncogene *ANP32D*, are also thought to be involved in the development of CMS in  
 396 Andeans<sup>116</sup>.



**Fig. 4 | Heart rate and arterial oxygen saturation at rest during a simulation of ascent to 8,848 m.** The graph shows the heart rate and arterial oxygen saturation (SaO<sub>2</sub>) at rest in individuals exposed to simulated altitudes from sea level to 8,848 m in a hypobaric chamber during a simulation of an ascent of

Mount Everest (Operation Everest III; COMEX '97)<sup>44</sup>. The variations in resting heart rate and SaO<sub>2</sub> mirror each other, illustrating the tight relationship between hypoxaemia and adrenergic activation in acute and prolonged hypoxia.

397  
398

### 399 High altitude and cardiovascular disease

#### 400 Acute altitude illnesses: is the heart involved?

401 One of the first descriptions of acute forms of mountain sickness, proposed by Ravenhill<sup>117</sup>, mentioned a  
 402 'cardiac form of altitude illness' to describe what would later be called 'high-altitude pulmonary oedema'  
 403 (HAPE) by Houston<sup>118</sup>. However, the heart is not involved in any manifestation of altitude illnesses —  
 404 acute mountain sickness, HAPE or high-altitude cerebral oedema. From the circulatory viewpoint,

405 hypoxia-induced vascular leak is a common feature of all forms of altitude illnesses, owing to increased  
406 capillary permeability, without any change in blood pressure. The increase in PAP owing to hypoxia-  
407 induced pulmonary vasoconstriction is one of the factors involved in the pathophysiology of HAPE,  
408 together with endothelial dysfunction, alveolar epithelial dysfunction and inflammatory processes<sup>119</sup>.  
409 Nobody has ever died from heart problems at the summit of Mount Everest (8,849 m), despite extremely  
410 low arterial O<sub>2</sub> pressure (~30 mmHg) and high exercise intensities<sup>33</sup>, probably because of the remarkable  
411 autoprotective physiological process discussed earlier.

412

### 413 **Advice on pre-existing cardiovascular diseases**

414 Very few robust scientific data are available about the risks of exposure to high or very high altitude in  
415 patients with chronic CVD. Most studies have included a small number of patients and were performed  
416 under very different conditions, in terms of both altitude and methodology. Studies of patients with  
417 cardiovascular conditions that involve travel to, or hiking in, high-altitude regions far from medical  
418 facilities are unethical. For this reason, among others, recommendations are mostly based on the  
419 consensus of experts and limit the highest advisable altitudes in the travel guidance for patients with pre-  
420 existing cardiovascular conditions<sup>120–122</sup> (Box 1).

421 Although the healthy heart is not directly involved in acute altitude illnesses, high altitudes can be  
422 challenging for those with CVD because exercising at a given intensity (such as walking uphill) is more  
423 demanding in hypoxia than at sea level. Because of the lower SaO<sub>2</sub> and the lower maximal exercise  
424 capacity (VO<sub>2</sub> max) at altitude, the same physical activity requires a greater percentage of VO<sub>2</sub> max and,  
425 therefore, a greater percentage of maximal heart rate and maximal cardiac output to deliver the same  
426 amount of oxygen to the myocardium<sup>123</sup>. Therefore, clinical symptoms that would not appear, or appear  
427 only during vigorous exercise, in normoxia could emerge during light-to-moderate exercise at high  
428 altitude.

429 A basic knowledge of the physiology of hypoxia, and the pathophysiology of CVD, will help  
430 clinicians to provide appropriate advice to their patients with CVD before travel to high-altitude regions<sup>61</sup>.

431 In general, the risk factors for patients with CVD at high altitude are:

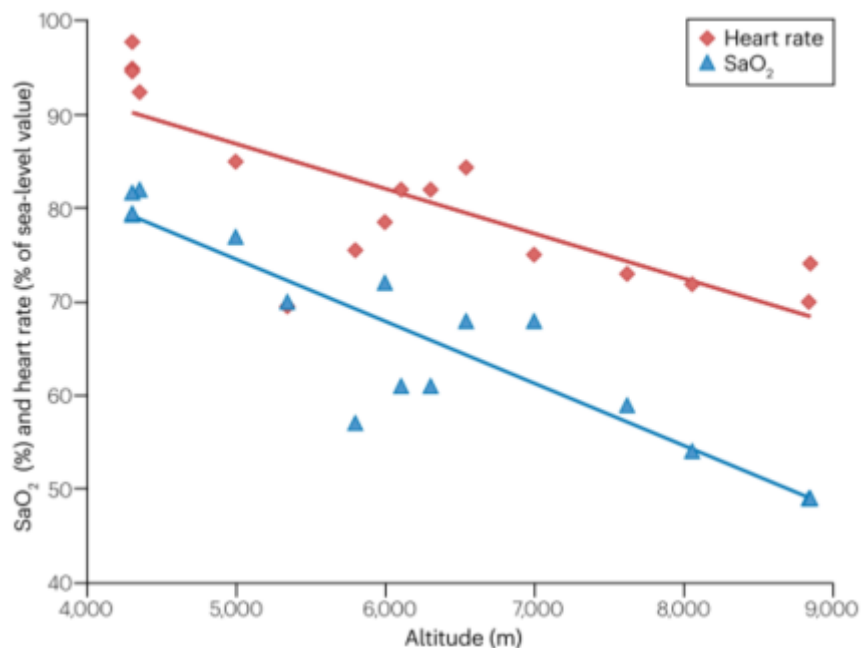
- 432 • Impaired oxygen delivery, leading to increased hypoxaemia (respiratory conditions, severe or  
433 insufficiently controlled heart failure and cyanotic congenital heart diseases).
- 434 • Pulmonary hypertension and right heart failure.
- 435 • Increased sympathetic activity (arrhythmias).
- 436 • Reduced ischaemic threshold, owing to a higher heart rate for a given power output at exercise (CAD).

437

438 To evaluate the risk associated with a travel to high altitude for a patient with CVD (Box 1), the

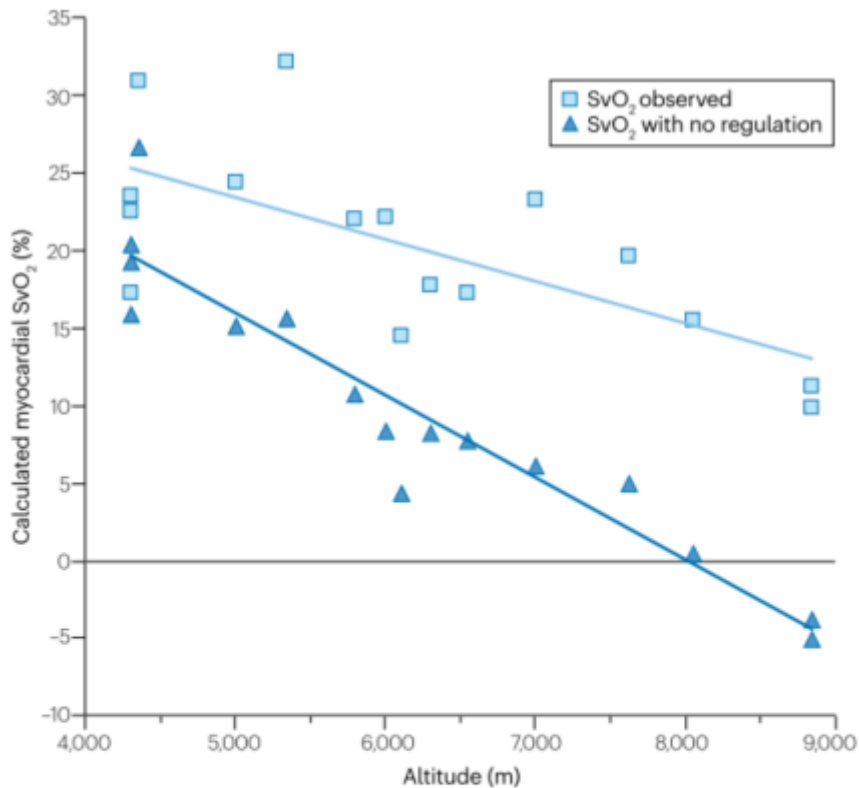
439 physician should consider both the risk of complications of the cardiovascular pathology in hypoxia and  
440 comorbidities (such as chronic obstructive pulmonary disease, anaemia, diabetes mellitus and obstructive  
441 sleep apnoea), which are common in these patients. Furthermore, they should be aware that medical  
442 facilities are not easily accessible in isolated, high-altitude regions and inform their patients that treatment  
443 is, therefore, likely to be delayed. Physicians should also consider the medications being taken by the  
444 patient. For example, diuretics can lead to dehydration, and  $\beta$ -blockers will impair the physiological  
445 increase in heart rate at altitude and reduce physical performance. If acetazolamide is prescribed to limit  
446 the risk of high-altitude illness, interactions with other drugs, such as hypokalaemia with loop diuretics,  
447 should be avoided. Physicians should also give their patients general recommendations about altitude  
448 acclimatization; primarily that, for travel above 3,000 m, the daily gain in altitude should not exceed 400  
449 m (refs. 56,58). Importantly, cardiovascular risks are increased when exposure to altitude is sudden,  
450 without progressive acclimatization.

451



**Fig. 5 | Parallel linear decrease in arterial oxygen saturation and heart rate at maximal exercise as a function of altitude.** The graph shows the parallel linear decrease in arterial oxygen saturation (SaO<sub>2</sub>) and heart rate (% of sea-level values) with increasing altitude during maximal exercise in individuals acclimated to hypoxia. The decrease in heart rate with increasing altitude has been observed in many studies conducted in the field and in simulated conditions. Both sympathetic and parasympathetic nervous systems are involved in this decrease in maximal heart rate. Downregulation of  $\beta$ -adrenergic receptors occurs, leading to desensitization of the pathway, as an adaptive phenomenon against excessive hypoxic stimulation. An increased parasympathetic effect on the heart might also contribute to the decrease in heart rate during exercise in prolonged hypoxia, via upregulation of the muscarinic receptors, which implies decreased centrally mediated activation of the parasympathetic system, as a mirror effect of adrenergic activation with downregulation of  $\beta$ -adrenergic receptors. Adapted from ref. 22, CC BY 4.0 (<https://creativecommons.org/licenses/by/4.0/>).

452



**Fig. 6 | Myocardial venous oxygen saturation at maximal exercise with and without autoregulation of maximal heart rate.** The graph shows the calculated values of myocardial venous oxygen saturation (SvO<sub>2</sub>) during maximal exercise as a function of altitude. The dark blue triangles show SvO<sub>2</sub> values re-calculated using the observed SvO<sub>2</sub> data (light blue squares), assuming that the value of maximal heart rate at altitude is identical to that at sea level. The decrease in maximal heart rate with increasing altitude limits cardiac oxygen consumption when oxygen availability is reduced, protecting the heart against ischaemic events. In the hypothesis of no decrease in maximal heart rate (dark blue triangles), myocardial SvO<sub>2</sub> would become negative at 8,000 m and above. Adapted from ref. 22, CC BY 4.0 (<https://creativecommons.org/licenses/by/4.0/>).

453

454

455 **Coronary artery disease.**

456 Patients with reduced coronary reserve could be assumed to be at increased risk of myocardial ischaemia  
 457 at high altitude. However, no significant differences in symptoms, heart rate or systolic function have  
 458 been observed in patients with ischaemic heart disease compared with healthy individuals at 3,454 m<sup>124</sup> or  
 459 4,200 m<sup>125</sup>. The 18% decrease in normal coronary reserve at 2,500 m<sup>49</sup> should be considered when  
 460 interpreting these findings. In a study of nine patients with CAD exercising at 3,100 m, angina, ST  
 461 segment depression or both occurred at the same product (heart rate × systolic blood pressure), but at  
 462 lower workloads, than at sea level<sup>126</sup>.

463 On the basis of these observations, patients with CAD who no longer show electrocardiogram  
 464 abnormalities during an exercise test, at least 6 months after a cardiac event, can travel to altitudes up to  
 465 4,200 m (lower in the presence of comorbidities that increase cardiovascular risk). As a precaution,  
 466 vigorous exercise at altitude in these patients is contraindicated. Interestingly, one study of healthy

467 individuals exposed to an altitude of 4,559 m showed that acetazolamide increased the subendocardial  
468 viability ratio, estimated on the carotid artery using a PulsePen tonometer<sup>127</sup>. This finding suggests a  
469 decreased risk of subendocardial ischaemia at altitude in healthy people but remains to be confirmed in  
470 patients with ischaemic heart disease.

471

#### 472 **Heart failure.**

473 Some researchers have studied the effects of high altitude (up to 3,454 m) in patients with heart failure  
474 with ejection fraction <35%, with no cardiac events being reported<sup>128-130</sup>. On the basis of these studies,  
475 recommendations about travel to altitude for these patients depends on the NYHA score — no altitude for  
476 NYHA class IV, up to 3,000 m for NYHA class III and up to 3,500 m for NYHA classes I and II<sup>122</sup>.

477

#### 478 **Arrhythmias.**

479 Although no specific data are available about the occurrence of arrhythmias at high altitude, it is  
480 reasonable to assume that the association between hypoxaemia and increase in adrenergic activity could  
481 induce arrhythmias. Therefore, recommendations limit altitude travel to 3,500 m for patients with serious  
482 ventricular arrhythmias<sup>121</sup>.

483

#### 484 **Congenital heart diseases.**

485 Patients with cyanotic heart disease or right-to-left shunt (aggravated by pulmonary hypertension and  
486 increased right heart pressures) experience severe hypoxaemia at high altitudes. Therefore, travel to these  
487 regions should be avoided, unless the patient has been surgically treated<sup>131</sup>. Case reports of patients with  
488 patent foramen ovale suggest that right-to-left shunt might be aggravated at high altitude, and exercise-  
489 induced arterial oxygen desaturation could, therefore, be a risk factor for HAPE<sup>132</sup>.

490

#### 491 **Systemic hypertension.**

492 As discussed earlier, the systemic circulation is exposed to the opposing effects of centrally mediated  
493 vasoconstriction and locally mediated vasodilatation. The overall effect on blood pressure largely depends  
494 on the duration of exposure and individual susceptibility<sup>61</sup>. Although some studies<sup>133,134</sup> have shown a  
495 greater increase in blood pressure at altitude in patients with hypertension than in healthy individuals,  
496 other studies have not<sup>60,66</sup>. To our knowledge, there is no evidence in the literature restricting travel to  
497 altitude in patients with stable hypertension. Recommendations to avoid high altitude apply only to  
498 patients with uncontrolled or severe hypertension (systolic blood pressure >180 mmHg and/or diastolic  
499 blood pressure >110 mmHg).

500

## 501 **Pulmonary hypertension.**

502 Given that hypoxic pulmonary vasoconstriction occurs in all individuals exposed to high altitude, patients  
503 with pre-existing pulmonary hypertension are at high risk of right heart dysfunction or HAPE<sup>135,136</sup>.  
504 However, one randomized pilot study showed that patients with pulmonary hypertension can safely adapt  
505 to an altitude of 2,000 m<sup>137</sup>. The recommendation is to avoid travel to altitudes above 2,000 m and to use  
506 supplemental oxygen if such travel cannot be avoided.

507

## 508 **Hypoxic preconditioning**

509 Hypoxic preconditioning refers to exposure to moderate hypoxia with the aim of increasing resistance to  
510 subsequent severe hypoxia, and interest in the application of this technique in health and disease is  
511 growing<sup>138</sup>. Specific protocols that modulate stress level, duration of exposure and whether hypoxia is  
512 continuous or intermittent have revealed some positive effects of hypoxic preconditioning in a wide  
513 spectrum of pathologies, including age-dependent neurodegeneration<sup>139</sup>, cerebral ischaemia<sup>140,141</sup>,  
514 hypertension<sup>142</sup>, obstructive sleep apnoea<sup>143</sup> and metabolic diseases<sup>144,145</sup>. Hypoxic preconditioning can be  
515 performed in actual high-altitude conditions, but individual control of physiological responses can be  
516 improved in simulated conditions, such as in normobaric rooms or tents.

517 Evidence for the beneficial effects of moderate hypoxia comes from epidemiological data in  
518 populations living permanently at moderate altitudes (~2,000–2,500 m), who have lower cardiovascular  
519 mortality than populations living at sea level<sup>146–148</sup>. Other chronic diseases, such as dyslipidaemia<sup>149</sup> and  
520 diabetes<sup>150</sup>, also have a reduced prevalence at altitude; however, mortality from chronic obstructive  
521 pulmonary disease has been reported to be increased at high altitude<sup>151</sup>. Evidence for the benefits of  
522 hypoxic preconditioning for CVD is accumulating<sup>152,153</sup>. Among six male patients with CAD, myocardial  
523 perfusion was increased after progressive intermittent hypoxia (4,200 m over 14 days)<sup>154</sup>. Moreover,  
524 short-term intermittent hypoxia (14–10% oxygen over 21 days) increased aerobic capacity and exercise  
525 tolerance in 16 men aged 50–70 years with CAD<sup>155</sup>. Intermittent hypoxia (2,700 m over 22 days)  
526 increased cardiorespiratory capacity, exercise tolerance and quality of life in patients with severe heart  
527 failure<sup>156</sup>. Importantly, no adverse effects occurred among 45 patients with stable ischaemic LV  
528 dysfunction exposed to altitudes up to 3,000 m, although their maximal exercise capacity was  
529 reduced<sup>128,130</sup>.

530 The underlying mechanisms of hypoxic preconditioning are not yet fully understood, but could involve  
531 several distinct processes and their potential interactions, through changes in HIF1 and its target genes<sup>7</sup>.  
532 Possible processes involved include neuroprotection and cardioprotection<sup>157,158</sup>, NO synthesis and  
533 mitochondrial function<sup>159</sup>, downregulation of apoptosis<sup>160</sup>, erythropoietin-related protection<sup>161,162</sup>, ROS  
534 formation<sup>61</sup> and upregulation of angiogenic growth factor<sup>7,163–165</sup>. The obstructive sleep apnoea syndrome,

535 an existing pathological model of intermittent hypoxia, could help to adjust future modalities of hypoxic  
536 preconditioning. When apnoeas are brief (<60 s), recurrent cycles of hypoxia–reoxygenation lead to a  
537 marked decrease in SaO<sub>2</sub>, an exacerbation of sympathetic activation and subsequent cardiovascular  
538 dysfunction<sup>166</sup>. By contrast, preliminary studies on the positive effects of intermittent hypoxia rely on a  
539 more moderate hypoxic stress during longer intervals, which could provide useful guidelines for further  
540 investigations<sup>138,142,167,168</sup>. Additional metrics could be used to accurately quantify the ‘hypoxic load’  
541 experienced by patients or healthy individuals in a hypoxic environment. The most widely used  
542 assessment is to integrate the FiO<sub>2</sub> curve over time. Although simple to implement, this method does not  
543 represent tissue oxygenation at a cellular level during hypoxia. Although not perfect, a similar approach  
544 using the integration of SpO<sub>2</sub> over time would be closer to physiological reality and would eliminate the  
545 effects of chronic adaptation to hypoxia during hypoxic protocols<sup>169</sup>.

### Glossary

---

**Anoxia**

The absence of oxygen from the tissues of a living organism.

---

**Duration of exposure to hypoxia**

Acute: minutes or hours; prolonged: days or weeks; chronic: months, years or lifetime.

---

**Hypobaric hypoxia**

Decrease in oxygen pressure owing to a decrease in barometric pressure.

---

---

**Hypoxaemia**

Decrease in oxygen pressure in blood compared with normal value at sea level (100 mmHg).

---

**Hypoxia**

Decrease in oxygen pressure in a given milieu (such as ambient air, lung alveoli, blood or cells).

---

**Normobaric hypoxia**

Decrease in oxygen pressure owing to a decrease in the fraction of oxygen in the inspired air.

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546

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### 548 Conclusions

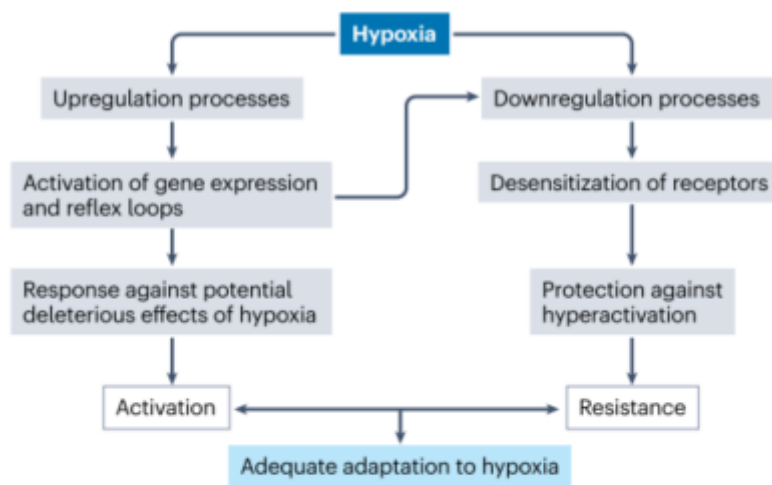
549 Travel to high altitude exposes an individual to hypoxia. However, advances in high-altitude research  
550 have demonstrated that the cardiovascular system deploys some efficient mechanisms of acclimatization  
551 to oxygen deprivation, and the healthy heart adapts to hypoxia, even when severe, with preservation of  
552 systolic function and only minor impairment of LV and RV diastolic function. With acclimatization,  
553 desensitization of the adrenergic system, together with an increased parasympathetic influence, leads to a  
554 decrease in maximal heart rate and protection of the myocardium against potentially harmful energy  
555 disequilibrium. In the peripheral and pulmonary circulations, hypoxia induces vasodilatation and  
556 vasoconstriction, respectively, leading to minimal changes in systemic blood pressure but an increase in  
557 PAP that can contribute to high-altitude pulmonary oedema.

558 Permanent exposure to hypoxia, as in natives of high-altitude environments, can lead to CMS  
559 characterized by excessive polycythaemia, which is frequently associated with pulmonary hypertension  
560 and heart failure. Genetic studies have revealed protective adaptations of some populations (such as

561 Tibetans and Ethiopians) to these pathological manifestations. Altogether, adaptation to hypoxic  
562 constraints is achieved through a balance between activation of compensatory mechanisms (such as  
563 hyperventilation, tachycardia and erythropoiesis) through upregulation mechanisms and inhibition of  
564 neurohormonal or humoral factors (such as G-protein-coupled receptors, NO and the RAAS), protecting  
565 the organism from high-energy-consuming processes<sup>170</sup> (Fig. 7). Although this complex system can fail  
566 and lead to pathological manifestations, it constitutes a remarkable example of homeodynamics that  
567 warrants further exploration, especially to unravel the molecular mechanisms underlying these adaptation  
568 processes.

569 Our improved understanding of the effect of altitude hypoxia on the cardiovascular system will allow  
570 better documented and evidence-based advice to patients with pre-existing CVDs. All CVDs are  
571 aggravated by increased adrenergic activity or associated with pulmonary hypertension, and hypoxaemia  
572 (right-to-left shunt) will also be exacerbated. Moderate altitude, up to 2,500 m, does not seem to be  
573 harmful for most patients with CVD. However, as altitude increases, patients will present an ischaemic  
574 threshold for a lower power output during exercise. Progressive acclimatization is necessary to avoid  
575 acute adverse effects on the cardiovascular system, and advice should be given in the context of disease  
576 severity and the expected level of exercise intensity. Intermittent exposure to moderate hypoxia might  
577 have a beneficial effect in patients with CAD or heart failure. However, future research is needed to  
578 define more precisely the indications, contraindications and modalities of pre-exposure to hypoxia in  
579 these patients.

580



**Fig. 7 | Processes of adaptation to hypoxia.** Exposure of individuals to acute hypoxia activates the expression of genes and reflex loops (such as chemoreflex-induced hyperventilation or tachycardia) to maintain an adequate supply of oxygen to the cells. Although some of these reactions to hypoxia can be harmful, desensitization (downregulation) processes occur to limit the negative effects of some of the acute reactions to hypoxia. A balance between activation and resistance processes leads to adaptation to hypoxia. Adapted with permission from ref. 174, © 2017 Elsevier Masson SAS, all rights reserved.

581



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